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Date of Deposit: October 12, 1999

UP 1654

**PATENT APPLICATION**

Attorney Docket No. 19644-008 (Tufts-8)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**



APPLICANTS      Bachovchin *et al.*  
ASSIGNEE      Trustees of Tufts College  
SERIAL NUMBER      08/950,542      EXAMINER :      David Lukton  
FILING DATE      October 15, 1997      ART UNIT :      1654  
FOR :      INHIBITORS OF DIPEPTIDYL-AMINOPEPTIDASE TYPE IV

October 12, 1999  
Boston, Massachusetts

Assistant Commissioner for Patents  
Washington, D.C. 20231

**TRANSMITTAL LETTER**

Transmitted herewith for filing in the present application are the following documents:

- ☐ Revocation by Assignee and New Power of Attorney;
- ☐ Copy of recorded Assignment;

Applicants believe that no fees are due in connection with this submission. However, the Commissioner is authorized to credit any overpayment or charge any deficiencies to Deposit Account No. 50-0311, Reference No. 19644-008 (Tufts-8).

If the enclosed papers are considered incomplete, the Mail Room is respectfully requested to contact the undersigned collect at (617) 542-6000, Boston, Massachusetts.

Respectfully submitted,

Ivor R. Elrifi, Reg. No. 39,529  
David E. Johnson, Reg. No. 41, 874  
Attorneys for Applicants  
c/o MINTZ, LEVIN  
One Financial Center  
Boston, Massachusetts 02111  
Tel: (617) 542-6000  
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OCT 15 1999



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Assistant Commissioner for Patents  
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**REVOCATION BY ASSIGNEE AND NEW POWER OF ATTORNEY**

TRUSTEES OF TUFTS COLLEGE, an institution of Higher Education and owner of the above-identified United States patent application 08/950,542, filed October 15, 1997, which is a file-wrapper continuation application under 37 CFR 1.62 of United States patent application 08/459,654, filed June 2, 1995, assignment recorded on September 18, 1995, at Reel/Frame: 7635/0428, hereby revokes any and all former powers of attorney and appoints:

<b>Attorney or Agent</b>	<b>Registration No.</b>	<b>Attorney or Agent</b>	<b>Registration No.</b>
David B. Bernstein	32,112	A. Jason Mirabito	28,161
James G. Cullem	43,569	Michel Morency	Limited Recognition
David F. Crosby	36,400	John T. Prince	43,091
Brett N. Dorny	35,860	Thomas M. Sullivan	39,392
Ivor R. Elmfi	39,529	Howard Susser	33,556
John A. Harre	37,345	Kristin E. Konzak	P-44,848
David E. Johnson	41,874	Carol H. Peters	P-45,010
William Marino	44,219	Garfield B. Simms	P-45,109
Leslie Meyer-Leon	37,381	Shelby J. Walker	P-45,192

all of MINTZ, LEVIN, COHN, FERRIS, GLOVSKY AND POPEO PC, One Financial Center, Boston,

APPLICATION No.

08/950,4

APPLICANTS:

*Bachovchin et al.*

all of MINTZ, LEVIN, COHN, FERRIS, GLOVSKY AND POPEO PC, 701 Pennsylvania Avenue, N.W., Washington DC 20004-2608, as Applicants' attorneys with full power of substitution and revocation to take any and all action necessary with regard to the above-identified patent.

**SEND CORRESPONDENCE TO:**

**Ivor R. Elrifi  
Mintz Levin  
One Financial Center  
Boston, MA 02111**

**DIRECT TELEPHONE CALLS TO:**

**Ivor R. Elrifi  
(617) 542-6000**

TRUSTEES OF TUFTS COLLEGE certifies under 37 C.F.R. § 3.73(b) that it is the Assignee of the entire right, title and interest in the patent identified above by virtue of assignments of the patent identified above, copies of which are attached.

I, the undersigned, am empowered to act on behalf of the Assignee. Acting on behalf of the Assignee, I have reviewed all the documents in the chain of title of the patent identified above and, to the best of my knowledge and belief, title is in the Assignee identified above.

I, the undersigned, hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001 and that such willful false statements may jeopardize the validity of the patent.

Please charge any fee or any fee deficiency occasioned by this document to Deposit Account No. 50-0311 (19644 008; Tufts -8).

Respectfully submitted,

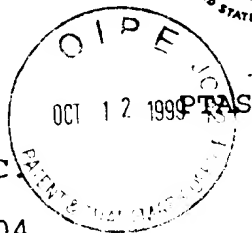


Frances Toneguzzo, Ph.D.  
TRUSTEES OF TUFTS COLLEGE

Date: September 27, 1999

FEBRUARY 01, 1996

ANITA L. MELKLEJOHN  
FISH & RICHARDSON P.C.  
225 FRANKLIN STREET  
BOSTON, MA 02110-2804



15495/009002 #3  
UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

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BOSTON, MA

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/18/1995

REEL/FRAME: 7635/0428  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
BACHOVCHIN, WILLIAM W.

DOC DATE: 08/15/1995

ASSIGNOR:  
FLENTKE, GEORGE R.

DOC DATE: 09/01/1995

ASSIGNEE:  
TRUSTEES OF TUFTS COLLEGE  
MEDFORD, MASSACHUSETTS 02155

SERIAL NUMBER: 08093302  
PATENT NUMBER: 5462928

FILING DATE: 07/15/1993  
ISSUE DATE: 10/31/1995

SERIAL NUMBER: 08459654  
PATENT NUMBER:

FILING DATE: 06/02/1995  
ISSUE DATE:

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Reviewed By Practice System
Initials: <i>Usb</i>
Reviewed By Billing Secretary
Initials: _____

7635/0428 PAGE 2

JOANN STEWART, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

1991 MAR 14

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10-03-1995

Correspondence Docket No.: 05495/009002

05495/009003

REC



102071743

Document.

Commissioner of Patents and Trademarks

Name of conveying party(ies):

William W. Bachovchin and George R. Flentke

2. Name and address of receiving party(ies):

Trustees of Tufts College

Additional name(s) attached? ☐ Yes ☒ No

Medford, MA 02155

3. Nature of conveyance:

- ☒ Assignment  
☐ Merger  
☐ Security Agreement  
☐ Change of Name  
☐ Other:

Additional names/addresses attached? ☐ Yes ☒ No

Execution Date: August 15, 1995 and  
 September 1, 1995

4. Application number(s) or patent number(s):

If this document is being filed with a new application, the execution date of the application is:

A. Patent Application No.(s):

08/093,302

08/459,654

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name/address of party to whom correspondence concerning document should be mailed:

Anita L. Melklejohn  
 Fish & Richardson P.C.  
 225 Franklin Street  
 Boston, MA 02110-2804

6. Total number of applications/patents involved: 2

7. Total fee (37 CFR 3.41): \$80

- ☒ Enclosed  
☐ Authorized to charge deposit account

8. Deposit account number: 06-1050

If the fee above is being charged to deposit account, a duplicate copy of this cover sheet is attached. Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050.

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090 KJ 09/26/95 08459654

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9. Statement and signature: *To the best of my knowledge and belief, the foregoing information is true and correct and the attached is the original document.*

Anita L. Melklejohn

Name of Person Signing

Signature

Date

14 Sept 1995

Total number of pages including cover sheet, attachments, and document: 3

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being deposited with the United States Postal Service in first class mail with sufficient postage on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

*James A. Amonte*  
*James A. Amonte*

56-81-6. POW

ASSIGNMENT

For valuable consideration, we, William W. Bachovchin, of Melrose, MA;

George R. Flentke of Madison, WI; hereby assign to TRUSTEES OF TUFTS COLLEGE, a MASSACHUSETTS corporation having a place of business at Medford, MA 02155, and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of the following applications for United States Patent: application Serial No. 07/781,552, filed October 22, 1991, now application Serial No. 08/093,302, filed July 15, 1993; and Patent application Serial No. 08/459,654, filed June 2, 1995, both entitled INHIBITORS OF DIPEPTIDYL-AMINOPEPTIDASE TYPE IV

this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our name or in its own name for patents, utility models, and design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

IN WITNESS WHEREOF, I hereto set my hand and seal at \_\_\_\_\_

this 1 day of September, 1995  
William W. Bachovchin L.S.  
WILLIAM W. BACHOVCHIN

STATE OF Massachusetts:  
COUNTY OF Suffolk:SS.

Before me this 1 day of September, 1995, personally appeared WILLIAM W. BACHOVCHIN known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

Sean Donlon  
Notary Public

SEAN DONLON

My Commission Expires JUSTICE OF THE PEACE  
COMMONWEALTH OF MASSACHUSETTS  
COMMISSION EXPIRES JULY 8 1999

[Notary's Seal Here]

COPY AS FILED

COPY

WITNESS WHEREOF, I hereunto set my hand and seal at Madison, Wisconsin

this 15th day of August, 1995

George R. Flentke  
GEORGE R. FLENTKE

L.S.

STATE OF Wisconsin:

:SS.

COUNTY OF Dane:

Before me this 15th day of August, 1995, personally appeared GEORGE R. FLENTKE known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

George A. Meier  
Notary Public

My Commission Expires: May 3, 1998

[Notary's Seal Here]

135302.b11

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